

# **SAROJ SECURITIES**

309 KAN CHAMBER, 14/113 CIVIL LINES, KANPUR – 208 001

Member: The National Stock Exchange of India Ltd.

SEBI Regn No: INZ 000224427

## **Policy on allotment of trading terminals**

1. Saroj Securities shall allot trading terminals to its authorized Sub broker / employees only.
2. While issuing the terminals it shall ensure that the user is certified for the same.
3. It shall allot any trading terminal outside its offices to its Branch/Sub broker only.
4. To avoid use of trading terminals after expiry of said NCFM/NISM it shall maintain a register which will be fortnightly reviewed.
5. A three month communication in advance shall be given to the respective certificate holder so that he may reappear and clear the ncfm/nism.

**Updated on 30<sup>th</sup> September 2020**