

SAROJ SECURITIES

309 KAN CHAMBER ,14/113 CIVIL LINES , KANPUR – 208 001

Member :The National Stock Exchange of India Ltd .

SEBI Regn No :INZ 000224427

Policy for monitoring of Debit balances

1. The Company provide online back - office access platform to its clients in the form of a link through its website in a secured way ,which they can access with their unique name and password . This ensures that our clients can check their daily balances and if they require any further clarification, the client do have an option to call us and get any necessary information for their satisfaction.
- 2.The accounts manager would continuously monitor debit balances of the clients and shall be responsible for continuous follow up at the client level.
- 3.Pending debit balances over 5 days shall be closely monitored.
- 4.In the event of net debit over 30 days ,the debit would be reported to management to decide further course of action .
- 5 .The management would keep a close watch on such debit balances ,and would take necessary steps to ensure that no instances of long pending debit balance occur , but in the exceptional circumstances , where it could not be avoided , the client shall be persuaded to get the debit balances squared off within reasonable time ,provided the member have reasonable collateral against debit balance.
6. The management on a case to case basis would decide whether the Client would be restricted to take further positions in the market or security/beneficiary holding of the client needs to be liquidated to square the debit balances or any legal action needs to be initiated against the client .

Last Updated – 01.04.2021